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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER

230.027 U.S. APPRICATION NO. (11 knows sep 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/SE2004/001276 03 September 2003 03 September 2004 TITLE OF INVENTION **TOBACCO SMOKE FILTER** APPLICANT(S) FOR DO/EO/US BACKMAN, Carl-Gustaf Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). X Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. X A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Page 1 of 3 Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1AP20 Rec'd PCT/PTO 03 MAR 2006

PTO-1390 (Rev. 07-2005)

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 230.027 PCT/SE2004/001276 20. Other items or information: PTO USE ONLY **CALCULATIONS** The following fees have been submitted Basic national fee (37 CFR 1.492(a))......\$300 21. 300 Examination fee (37 CFR 1.492(c)) 22. \$ 200 If the written opinion prepared by ISA/US or the international preliminary examination report prepared All other situations.....\$200 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by \$ 500 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations......\$500 \$1,000 **TOTAL OF 21, 22 and 23 =** Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets Extra Sheets** Number of each additional 50 or fraction RATE thereof (round up to a whole number) \$ 0 x \$250 - 100 = /50 = Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ 0 after the date of commencement of the national stage (37 CFR 1.492(h)). NUMBER FILED **RATE** \$ **NUMBER EXTRA CLAIMS** 0 \$ 0 20 - 20 = × \$ 50 Total claims \$ 0 - 3 = 0 x \$200 Independent claims \$ MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 \$ 1,000 **TOTAL OF ABOVE CALCULATIONS =** Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$ 500 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest \$ claimed priority date (37 CFR 1.492(i)). \$ 500 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied \$ by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 500 TOTAL FEES ENCLOSED = Amount to be \$ refunded: Amount to be \$ charged

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d. 🗌	Fees are to be charged to a credit card. WARNING be Included on this form. Provide credit card info		•	nation should no	t	
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the international Application to pending status.						
SEND A	ALL CORRESPONDENCE TO:		SIGNATURE David D. Stein NAME 40,828 REGISTRATION NUMBER			

10/57053 1AP20RECUPENDO3 MAR 2006

Applicant: CARL-GUSTAF BACKMAN

CERTIFICATE OF EXPRESS MAIL

This is to certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL - POST OFFICE TO ADDRESSEE, in an envelope addressed to MAIL STOP PCT, Assistant Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 3, 2006. The Express Mail Label No. is EV843376688US.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Of:)
CARL-GUSTAF BACKMAN)
Filed Herewith)
TOBACCO SMOKE FILTER)

SUPPLEMENT TO TRANSMITTAL LETTER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

3).

This application is being filed pursuant to 37 CFR 1.53(b) and (d). The application includes the specification, at least one claim, the drawings, and the filing fee. The inventor is Carl-Gustaf Backman of Blåvingegatan 49, SE-233 36 Svedala, Sweden, a Swedish citizen.

The oath or declaration of the applicant is not included. The declaration will be forwarded promptly upon notification from the U.S. Patent and Trademark Office.

This application is the U.S. National Phase of PCT/SE2004/001276, filed September 3, 2004, which claims priority from Swedish application SE 0302349-6 filed September 3, 2003 and US 60/481,324 filed September 3, 2003.

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Attorney Docket No: 230.027

Customer No.: 23598